

# Mechanisms underpinning the mental health impact of the arts

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## Abstract

Studies have demonstrated reductions in psychiatric symptomatology in diverse mental health conditions following participation in creative arts therapies, community arts programmes delivered on prescription, and arts activities undertaken for leisure. However, a critical consideration is how and why such effects can occur. In this Review, we summarise the evidence base on the underlying causal mechanisms that appear most common across artforms, most potent in terms of supporting causal effects, and transdiagnostic, underpinning the mental health impact of the arts on mild, moderate and severe mental illness. These mechanisms have emerged from interdisciplinary research across psychology, neuroscience, neuro/psycho-physiology, psychobiology, social science and behavioural science. We conclude with recommendations about the need to advance understanding on which specific transdiagnostic processes underpinning mental illness are most strongly influenced by the arts, how modular extensions of more universal arts interventions could be designed to activate diagnosis-specific mechanisms, how theoretical thinking can be developed on arts engagement as an 'exposome' supporting mental health, and quality and safeguarding can be ensured when using arts activities to support mental health.

29 The “arts” encompass a diverse range of human practices ubiquitous in every human culture.<sup>1,2</sup> While styles and genres  
 30 of art vary across cultures, arts practices are united in relating to the production/experience of human creativity and  
 31 imagination and being designed to be appreciated primarily for their beauty, ideas and/or emotional power.<sup>3</sup> These  
 32 practices coalesce into broad categories of participating in or watching dance/movement, literature, media, music,  
 33 theatre/performance, and visual arts/craft/design (hereafter referred to collectively as “arts engagement”).<sup>4</sup> From an  
 34 anthropological perspective, the arts appear to have emerged and co-evolved to a large extent as part of human healing  
 35 practices, and in many societies they are still intrinsically interwoven into health, building on strong traditions and  
 36 knowledge capital.<sup>5-8</sup> In other societies – particularly Western, Global North contexts- engagement in the arts in the  
 37 context of health lie on a spectrum from arts engagement within everyday engagement as part of community life (e.g.  
 38 as hobbies, for leisure), to health-focused engagement (e.g. arts programmes delivered on prescription or with specific  
 39 health-focused outcomes), to clinical engagement (e.g. arts therapies delivered by professional arts therapists).<sup>4</sup>

40 Over the past two decades, there has been increasing research into the effects of engagement with the arts on mental  
 41 health.<sup>9</sup> A large body of this research has focused on clinical engagement in the arts; specifically the impact of creative  
 42 arts therapies (such as art therapy, music therapy, and dance/movement therapy) on individuals with diagnosed mental  
 43 health conditions, such as depression and anxiety.<sup>10,11</sup> These meta-analyses have demonstrated effects in in-patient and  
 44 out-patient settings, across all age groups, from participatory arts activities with creative arts therapists like improvising,  
 45 as well as more receptive activities like guided imagery with music. Increasingly, these results are being demonstrated  
 46 from high-quality randomised trials with a low identified risk of bias. For severe mental illness, literature is more limited,  
 47 as is the overall quality of studies to date. Nonetheless, within these caveats, meta-analyses of trials of music therapy  
 48 for schizophrenia do suggest benefits for a range of symptoms, including so-called “positive” symptoms (such as  
 49 delusions, hallucinatory behaviour, grandiosity, suspiciousness, and hostility), “negative” symptoms (such as emotional  
 50 withdrawal, social withdrawal, and attentional impairment), and broader symptoms of psychopathology (e.g., anxiety,  
 51 depression, and aspects of cognition).<sup>12-14</sup> There is also some exploratory work suggesting the potential value of creative  
 52 arts therapies for other patient groups, including people with broader types of psychosis (such as bipolar disorder),  
 53 personality disorders and post-traumatic stress.<sup>15-17</sup>

54 Alongside this literature on creative arts therapies specifically, though, there has also emerged a growing literature on  
 55 broader arts engagement, led by artists or individuals themselves rather than accredited therapists. General  
 56 participation in arts activities (e.g., making music or art) have been shown to improve mental health symptoms in people  
 57 with diagnosed anxiety and depression as well as broader populations without formal diagnoses but showing some  
 58 subclinical symptoms covering key clinical areas such as cognitive decline and dementias, traumatic loss, pregnancy, and  
 59 caregiving-related stress.<sup>18,19</sup> There is evidence in a number of studies that effects can last several months following the  
 60 end of relatively short-term interventions.

61 However, a critical consideration is *how* and *why* such effects can occur as a result of engagement with the arts. It is  
 62 widely accepted that arts activities comprise various ‘ingredients’ or ‘components’ that enable processes of change,  
 63 known as ‘mechanisms of action’ (see Figure 1 & Box 1 for a full explanation and graphical depiction of these terms).  
 64 Work in the creative arts therapies has been more advanced in considering ingredients and mechanisms. One recent  
 65 review that looked across all types of creative arts therapies proposed a combined list of therapeutic factors (also termed  
 66 intervention components or ingredients) and mechanisms of change responsible for treatment effects. However, we lack  
 67 similar considerations for arts engagement outside of the context of formal arts therapies. One previous review explored  
 68 mechanisms of action linking leisure-based activities such as the arts and other past-times to mental and physical health  
 69 outcomes, demonstrating that engaging in an activity as complex as the arts can activate a multitude of mechanisms.<sup>20</sup>  
 70 However, there is likely a smaller number of more specific mechanisms that help to explain causal effects of arts  
 71 engagement on mental health specifically.<sup>21</sup> Identification of these mechanisms is important to inform detailed design  
 72 of experimental studies and of arts-based interventions.

73 Figure 1: Simplified schematic representation of the relationship between ingredients, mechanisms and outcomes in  
 74 arts engagement.

## Box 1: 'Active ingredients' in arts activities

In this Review, we highlight some of the mechanisms activated by engagement in the arts that are relevant to mental health outcomes. We take a transdiagnostic approach, considering mechanisms that have a relevance to broad types of mental illness.<sup>22</sup> This review is not intended to be exhaustive, but rather to outline the current state of play with regards to identified and evidenced mechanisms that may be particularly important to achieving mental health benefits. These mechanisms are supported by varying levels of evidence but have been selected by the authors as they appear particularly relevant to the mental health outcomes reported in meta-analyses and align with current theoretical conceptualisations of mental health and its underpinning processes. In identifying these mechanisms, we have drawn on literature from psychology, neuroscience, neuro/psycho-physiology, psychobiology, social science and behavioural science.

In all, we describe 48 mechanisms, which we loosely categorise to aid readership, while acknowledging the inherent interconnectivity between many of the processes described. Throughout this Review, we focus on arts rather than creative arts therapies, anticipating that mechanisms relevant to general arts engagement are likely also active within creative arts therapies, but acknowledging that creative arts therapies can involve other non-artistic therapeutic mechanisms. Finally, we make theoretical proposals for how our understanding of the causal processes linking arts engagement to mental health can be advanced, in particular introducing the concept of an 'arts exposome' and making some recommendations for future research.

### Mechanisms

#### Emotion regulation

Some of the most fundamental mechanisms underpinning causal effects of arts engagement on mental health relate to the emotional impact of the arts. Art can communicate emotions, with in-built features or 'cues' such as bright colours, smooth textures, symmetry, fast beats and consonance recognised even by infants as innately happy, and dark colours, roughness, angular lines, slow beats, and dissonance recognised as sad.<sup>23–25</sup> These cues can lead to induction of similar emotions in those engaging, for example through eliciting brain stem reflexes or via processes of emotional contagion.<sup>26</sup> Functional neuroimaging studies show that emotions experienced as a result of arts engagement involve activation of core brain structures that underlie emotion, such as the amygdala, nucleus accumbens, hypothalamus, hippocampus, insula, cingulate cortex and orbitofrontal cortex.<sup>27</sup> These areas play a role in several key networks such as the reward network, the salience network, the default mode network, the emotion regulation network, and the stress response network, all of which are involved in key survival-related functions.<sup>27,28</sup>

If the arts experience is perceived as pleasurable, at a neurobiological level it activates the dopaminergic mesolimbic reward pathway.<sup>27</sup> This leads to increased availability of the neurotransmitter dopamine within the nucleus accumbens, which plays an important role in mood, motivation and broader cognitive processes.<sup>29</sup> Notably, studies have demonstrated that this dopamine release occurs not only in response to the most intense emotional moments within arts experiences, but also (and often more prominently) in anticipation of such moments – either because the specific arts experience is already familiar to the participant or because visual, phonological or structural features of the experience have elements of predictability based on an individual's existing mental 'schema' for that arts experience.<sup>29,30</sup> In addition to dopamine, it appears likely that pleasurable arts experiences lead to the release of endogenous opioids such as endorphins, although evidence is more tangential here. For example, if people take opioid antagonists such as naltrexone that *blocks* endogenous opioid signalling before listening to music they consider pleasurable, they show fewer physiological signs of pleasure, even though they still report enjoying the music.<sup>31</sup> Conversely, if individuals take opioid agonists such as oxycodone that *activate* opioid receptors prior to pleasurable music, they experience greater physiological signs of pleasure.<sup>32</sup> Other studies using proxies for endorphin release such as pain thresholds also argue that endorphin increase as a result of the arts is likely, as well as highlighting a possible coevolutionary mechanism that is relevant to mental health linking arts engagement to pain regulation.<sup>33</sup>

Arts experiences that elicit positive emotions have obvious benefits to mental health. But arts experiences that provoke negative emotions, including sadness, fear or disgust can also be beneficial. Confronting and allowing oneself to

121 experience negative emotions can be beneficial psychologically, so negative emotions encouraged by arts engagement  
122 may provide an opportunity for an authentic emotional connection.<sup>34</sup> However, in contrast to real-life negative events,  
123 artistic representations tend to provoke positive emotions concurrently with the negative emotions as individuals  
124 recognise the experience as an artistic one, meaning negative emotions experienced through arts are tempered.<sup>35</sup>  
125 Indeed, individuals are adept at cognitively recognising that the negative scenarios within arts experiences are artificial.  
126 As a result, even though genuine negative emotions are still elicited, the lack of real-life action required in response to  
127 the portrayed events permits a psychological detachment from the ensuing emotional response.<sup>36</sup> Consequently,  
128 experience of negative emotions through the arts functions as a chance to understand and rehearse the management  
129 of our emotions and simulate possible responses to challenging circumstances (an example of predictive coding in action  
130 and something we return to in “Socio-behavioural mechanisms”).<sup>37</sup> Evidence suggests that people with depression often  
131 experience intensified psychological and neurological responses to sad arts experiences, yet also perceive particular  
132 resonances with sad arts experiences, find these experiences enjoyable, use them in heightened way to rehearse their  
133 emotional responses, and can even feel happier after them.<sup>38–40</sup> This is notable given that depressive disorders are  
134 characterised not just by fewer happy emotions but also by suppression of negative emotions.<sup>41</sup> That said, it is important  
135 to be cautious in deliberately exposing people with mental health diagnoses to sad arts experiences. Experience of  
136 negative emotions through the arts can be more intense for individuals with depressive symptoms, so there are practical  
137 considerations about sensitive and appropriate exposure.<sup>42,43</sup>

138 In addition to using the arts to *elicit* emotions, another critical emotional mechanism providing explanation for the  
139 mental health benefits of the arts relates to emotion *regulation*. Psychological research demonstrates that when  
140 individuals are dealing with negative emotions such as stress, engagement in the arts can activate emotion regulation  
141 strategies that promote both avoidance (e.g. suppression, detachment and distraction) and cognitive reappraisal (e.g.  
142 discharge of negative emotions, acceptance, reappraisal and problem solving).<sup>44</sup> Which strategy is selected appears  
143 determined more by individual circumstances, personality and goals than by specific artforms, and there is little  
144 consensus as to whether any particular emotion regulation strategy recruited through engagement in the arts is most  
145 effective. However, it is notable that having greater creative freedom within the arts activity undertaken appears to be  
146 linked to greater emotion regulation experienced as a result.<sup>45–47</sup> This mechanism of emotion regulation is activated just  
147 as strongly in individuals experiencing depressive symptoms,<sup>48,49</sup> and has been demonstrated to underlie some of the  
148 improvements in symptoms reported in arts intervention trials from people with depression.<sup>50,51</sup>

### 149 Cognitive regulation

150 These regulation benefits extend from the emotional to the cognitive sphere. The cognitive impairments caused by  
151 mental health problems such as depression are well evidenced, including deficits in attention, memory, learning and  
152 executive function, and cognitive biases such as distorted information and dysfunctional beliefs about one’s self and the  
153 world around.<sup>52</sup> But some studies have suggested improvements in depressive symptoms as a result of arts engagement  
154 occur alongside improvements in diverse cognitive functions.<sup>51</sup> Some of the cognitive findings parallel those identified  
155 in research on nature and greenspace such as attention restoration benefits from viewing nature that provides “soft  
156 fascination”, escapism and connectedness.<sup>53</sup> This suggests that arts engagement such as viewing artworks in museums  
157 may help to reduce mental fatigue and restore attentional focus.<sup>53,54</sup> Evidence of cognitive benefits has some preliminary  
158 support from small-scale neuroimaging studies demonstrating increased activation of regions involved in cognitive tasks  
159 such as the dorsolateral prefrontal cortex, Pallidum-DMN circuit and increased insular connectivity in people with MDD  
160 and Schizophrenia in response to arts activities such as music listening.<sup>55–57</sup>

161 Indeed, if arts engagement is repeated and regular, studies suggest it can enhance neuroplasticity. Neuroimaging studies  
162 on the arts are still at a relatively early stage of development. But a number have demonstrated that exposure to multi-  
163 sensory arts experiences and environments is associated with improved formation of synapses in the brain, while  
164 sustained arts engagement – in particular training in the arts- is related to increased fMRI activation in brain regions  
165 involved in attention (supramarginal gyrus), increased EEG response in temporal-limbic areas involved in emotions and  
166 memory, increased grey matter volume in regions involved in executive functions (superior, medial and inferior frontal  
167 gyrus) and memory formation and retrieval (hippocampus), and increased white matter integrity in regions involved in  
168 reward perception (striatum).<sup>59,60</sup> Naturally, an important consideration is whether such structural and functional

169 changes have measurable implications for mental health. Nonetheless, some preliminary studies suggest this could be  
170 the case. For example, a study involving daily music listening found that neuroplastic changes such as increased grey  
171 matter volume in limbic areas correlated with reduced symptoms of depression, tension, fatigue, forgetfulness and  
172 irritability.<sup>61</sup>

173 Arts engagement also trigger states of flow – mental states of deep engagement, focus and immersion that are beneficial  
174 to mental health. Arts experiences actually appear particularly effective at inducing flow states relative to other  
175 behaviours.<sup>66</sup> Flow is thought to arise out of synchrony of attentional and reward networks and is beneficial to mental  
176 health both in reducing symptomatology and enhancing wellbeing.<sup>67,68</sup> Flow also increases the propensity for  
177 experiencing ‘chills’: a psychophysiological phenomenon that occurs at the point of cross-over in dopamine release  
178 between anticipation and realisation of pleasure and occurs alongside changes in heart rate, body temperature and  
179 cerebral blood flow.<sup>69</sup> While chills can occur as a result of basic manipulation of expectation, they can also occur as part  
180 of broader ‘peak’ artistic experiences, accompanied by feelings such as awe, reverence, rapture and harmony and  
181 activation of aesthetic brain networks.<sup>70,71</sup> Such instances can provide more transformative moments associated with  
182 psychological catharsis, healing, liberation and an enhanced sense of meaning in life, further enhancing mental health.<sup>70</sup>

183 From a positive psychology perspective, arts engagement also enhances psychological processes that are considered  
184 core psychological needs and are causally related themselves to mental health outcomes. Improved sense of control in  
185 life, autonomy, mastery, purpose, and perceived coherence are all widely reported qualitatively by research participants  
186 in arts interventions.<sup>62</sup> There is evidence to suggest that activating these psychological processes even within the narrow  
187 domain of leisure engagement in the arts can lead to global improvements in perceived meeting of psychological needs,  
188 as well as in broader wellbeing.<sup>64</sup> As a result, through arts engagement, individuals can accumulate psychological  
189 resources that they can draw on to respond to and buffer against stress and threats.<sup>65</sup> Overall, building on the mechanism  
190 of positive emotion elicitation through arts experiences, arts engagement appears to activate upwards spirals of positive  
191 emotions, cognitions and also actions, in line with “broaden and build” theory.<sup>58</sup>

### 192 Arousal & stress modification

193 Core ingredients of arts such as tempo, volume, texture, dissonance, symmetry, shape and surprise can moderate  
194 physiological arousal levels. Via signalling across the autonomic nervous system, a substantial body of clinical and non-  
195 clinical studies demonstrate that calming arts activities can reduce arousal within the cardio-respiratory system such as  
196 decreasing sympathetic nervous system activity as evident in heart rate, respiration rate and blood pressure and  
197 increasing parasympathetic nervous system activity as evidenced in heart rate variability.<sup>72–74</sup> More preliminary evidence  
198 also suggests effects such as relaxation of facial muscles and improved rhythmicity of gastrointestinal contractions in  
199 response to relaxing arts activities, indicating potentially wide-ranging autonomic nervous system activity in response to  
200 the arts.<sup>75,76</sup>

201 This reduction in physiological arousal as a result of arts activities is enhanced in art-forms such as music or poetry that  
202 generate rhythms. Due to processes of sensorimotor integration within the brain, perception of rhythms activates  
203 multiple brain regions involved in movement, including premotor cortex, supplementary motor area, cerebellum and  
204 basal ganglia, even if a listener does not actually engage in any movement.<sup>77</sup> If the rhythms coalesce into regular beats,  
205 neural oscillations align to these beats, leading to physiological entrainment of processes such as heart rate and  
206 breathing to the specific frequency of the beats being perceived. As a result, arts experiences that provide slow beats  
207 can be used to physiologically entrain movements, respiration and heart rate to lower levels. Entrainment also has other  
208 benefits beyond arousal modification, including supporting cognitive processes that are beneficial to mental health, such  
209 as enhancing attentional control mechanisms like attentional selection and optimising cognitive processing during  
210 attentionally demanding tasks.<sup>78</sup> This specific pathway from arts-based entrainment to cognitive functioning has some  
211 initial support from preliminary studies.<sup>79</sup>

212 Reductions in arousal are beneficial to mental health as they can lower levels of anxiety and stress (explored further  
213 below) and improve positive emotions.<sup>80,81</sup> But stimulating arts activities can also bring mental health benefits via  
214 alternative pathways. Good mental health is supported by a good prevailing balance between sympathetic and

215 parasympathetic nervous system activity: good autonomic tone. Autonomic imbalance – either consisting of over-  
216 prevalence of sympathetic or parasympathetic activity – is associated with adverse mental health outcomes.<sup>82,83</sup> As a  
217 result, in addition to increasing parasympathetic activity, briefly increasing sympathetic nervous system activity in a  
218 controlled way periodically is also beneficial. Evidence from physical activity research highlights that regular exercise-  
219 induced sympathetic activity leads to increases in post-exercise parasympathetic activation and underpins broader  
220 improvements in autonomic tone, and it has been suggested that arts-induced sympathetic activation may have similar  
221 benefits.<sup>84</sup>

222 In support of both reductions and short-term controlled increases in arousal being beneficial to mental health,  
223 psychological and neuro-endocrine research report subjective and objective reductions in stress through both  
224 approaches. Reductions in perceived stress and cortisol levels in response to relaxing arts activities have been shown in  
225 people experiencing stressful situations (such as hospital procedures).<sup>85–93</sup> Equally, reductions in cortisol have been  
226 found in response to arousing engagement in participatory arts activities, even when participants report increases in  
227 perceived arousal levels.<sup>94–98</sup> Notably, an exception is when the arts activity is stress-inducing, as in the case of  
228 professional artists like musicians and actors, where increases in cortisol levels can be found if performers perceive the  
229 performance to be stressful (although if they do not, then cortisol reductions are still found).<sup>99,100</sup> This evidence all  
230 indicates that arts engagement may work through the hypothalamic-pituitary-adrenal axis to promote adaptive allostasis  
231 (systemic regulatory adaptation to environmental demands).

232 With regular arts engagement, there is strong evidence of sustained and cumulative psychological reductions in both  
233 arousal and stress. Individuals who engage regularly in arts activities over multiple weeks can experience progressive  
234 lowering of sympathetic markers such as blood pressure and pulse rate, especially if individuals showed dysregulation  
235 of these markers in the first place.<sup>101,102</sup> Broader epidemiological explorations of people's arts engagement over the  
236 course of a year have additionally found lower blood pressure and pulse rate in people who are more engaged, net of  
237 socio-demographic, behavioural and health-related confounders.<sup>103</sup> With regards to stress, over several weeks of arts  
238 interventions, individuals typically report reductions in psychological stress, and there is preliminary evidence to suggest  
239 that cortisol reductions may become more pronounced over several weeks and diurnal patterns of cortisol may  
240 potentially improve, indicating more sustained neuroendocrine stress reductions.<sup>97,104,105</sup> All of these  
241 psychophysiological stress-related processes are relevant to mental health given dysregulation of autonomic nervous  
242 system and endocrine system activity appear bidirectionally related to anxiety and depression.

### 243 Molecular regulation

244 In addition to changes in neuroendocrine markers, molecular biology research has identified further biological pathways  
245 that provide mechanistic underpinning to the relationship between arts engagement and mental health. Preliminary  
246 studies of arts interventions such as drumming, dance and music therapy lasting just a few weeks have demonstrated  
247 downregulation of neuroinflammatory pathways, including cytokines (chemical messengers coordinating immune  
248 response and inflammation) like interleukin 6 (IL-6), interferon gamma (IFN- $\gamma$ ), tumour necrosis factor alpha (TNF- $\alpha$ ) and  
249 monocyte chemoattractant protein-1 (MCP-1) and broader proteins such as C-reactive protein (CRP; a non-specific  
250 marker of inflammation) as well as increases in anti-inflammatory biomarkers like immunoglobulin G (IgG; an antibody  
251 involved in long-term immunity).<sup>97,106,107</sup> Some of these changes have occurred alongside improvements in heart-rate  
252 variability and reductions in adrenaline and cortisol, suggesting these neuro-immunological changes may be related to  
253 changes in arousal and stress-related psychophysiological pathways. Such intervention studies remain small in scale, but  
254 both cross-sectional and longitudinal cohort data corroborate a relationship between more frequent arts engagement  
255 in day-to-day life and lower inflammatory markers.<sup>103,108,109</sup> These epidemiological studies expand the scope of  
256 inflammatory markers to include other proteins such as fibrinogen, white blood cells, and markers involved in the  
257 regulation of inflammation such as insulin-like growth factor 1 (IGF-1), indicating that the arts may influence a broad  
258 network of pathways related to inflammation. Inflammation is bidirectionally related to mental health, with short-term  
259 increases in inflammatory markers related to increases in negative emotions, and longer-term higher levels of  
260 inflammatory markers found in individuals with depression.<sup>110–112</sup> Levels of certain specific markers such as high CRP,  
261 high leukocytes (white blood cells) and low IgG are also associated with future psychiatric disorders, even multiple years

262 later.<sup>113</sup> As such, even early findings of variation in biomarkers of inflammation highlight a potentially important  
263 mechanistic pathway in the relationship between arts and mental health.

264 In addition to studies on specific biomarkers, the first studies are now emerging suggesting that arts engagement can  
265 affect more deep-seated biological processes such as gene expression. Preliminary RNA (ribonucleic acid) studies  
266 focusing on music listening and music making in people with musical training or aptitude have suggested that both  
267 activities upregulate the gene synuclein alpha (SNCA), which is involved in dopamine regulation and neuroprotection, as  
268 well as other genes also involved in processes that relate to mechanisms discussed above such as synaptic function,  
269 cognitive functions such as learning and memory, and neurogenesis.<sup>114,115</sup> Some of the upstream regulators of these  
270 genes comprise other biomarkers demonstrated in other studies to be affected by the arts, including IGF-1, TNF- $\alpha$ , IFN-  
271  $\gamma$  and cytokines involved in glucocorticoid regulation. Even in individuals without prior musical training or aptitude,  
272 further pilot RNA research has suggested that music engagement following acute stress leads to more effective reversal  
273 of genomic stress induction signatures than comparison activities such as reading newspapers.<sup>116</sup> These findings are at  
274 a very early stage of investigation, and would require substantial further work to be established, but it is possible that  
275 they underpin and reinforce findings from neuroendocrine studies on arts and stress reduction.

### 276 Social connections

277 Engagement with the arts – even passively viewing artworks – engages the social brain connectome, recruiting brain  
278 networks that are involved in complex social behaviours (as well as serving other purposes).<sup>117</sup> When arts engagement  
279 takes place with others, it additionally provides important opportunities for people to come together and bond socially,  
280 with arts activities leading to faster development of emotional closeness than non-arts counterparts.<sup>33,95</sup> The presence  
281 of this effect between mothers and babies as well as between members of social groups has been used to argue for  
282 complementary evolutionary roles for the arts in ritualised mother-infant interactions and the development and  
283 sustaining of social networks.<sup>33,118</sup> This effect is enhanced through mechanisms previously discussed above, such as  
284 entrainment, which supports socio-emotional connections such as emotional sharing, empathy and group bonding.<sup>78</sup> In  
285 group arts activities, it has been hypothesised that social bonding effects are facilitated through physical mirroring (e.g.  
286 gestural mimicry in communication or dance) and engagement of mirror neurons.<sup>119</sup> Arts engagement – especially when  
287 involving behavioural synchrony- also promotes prosocial cooperation, acting in a positive feedback loop with greater  
288 prosociality in turn encouraging further arts engagement.<sup>120,121</sup> Over time, arts engagement can also reduce loneliness  
289 and enhance social connections.<sup>62,122–124</sup> All of these social behaviours are critical to mental health outcomes, either  
290 through providing social support or buffering the risk of experiencing detrimental deficits in social connections such as  
291 social isolation.

292 Artforms that involve narrative (such as books, films, storytelling and theatre) can activate additional mechanisms that  
293 support social connections. When stories are communicated, audiences can experience neural coupling, both in  
294 linguistic regions of the brain (e.g. early auditory areas, Wernicke's area, and Broca's area) and extralinguistic areas  
295 known to be involved in the processing of semantic and social aspects of the story (e.g. the precuneus, dorsolateral  
296 prefrontal cortex, orbitofrontal cortex, striatum, and medial prefrontal cortex).<sup>125</sup> This coupling can support successful  
297 communication, enhancing opportunities for social connections with other individuals within a group such as the person  
298 telling a story. But it also facilitates a relationship with fictional characters, such as those being acted out within the  
299 story; a phenomenon known as parasocial interaction.<sup>126</sup> Despite the fictional counterpart in a parasocial interaction,  
300 the interaction can still support mental health and wellbeing – providing it is perceived as pleasant and stable- by fulfilling  
301 a need to belong.<sup>126</sup> Specifically, parasocial interactions can provide opportunities for 'social snacking' (reminding a  
302 person they are connected and accepted) and 'social shielding' (acting as a buffer against feelings of social  
303 exclusion).<sup>127,128</sup> According to social learning theory, narratives communicated through the arts can also provide concrete  
304 tools for mental health, such as providing demonstrations of behaviours or dialogue that can be observed and then  
305 imitated or modelled in the audience.<sup>129</sup> Nonetheless, this mechanism is only activated beneficially in some  
306 circumstances. "Werther effects"- whereby an arts exposure leads to a health-damaging behaviour-have been reported  
307 from people consuming arts where themes of mental health and suicidality have not been appropriately and sensitively  
308 approached in narrative.<sup>130–132</sup>

## 309 Self-awareness and identity

310 In addition to impacting our interaction with others (real or imaginary), arts engagement also supports self-awareness.  
311 Arts engagement can provide a locus for minds to focus on, helping to reduce excessive self-focus (common in many  
312 mental disorders) by redirecting attention to the arts activity at hand. This effect can be akin to mindfulness. Indeed,  
313 engaging in art activates the executive control network, salience network and default mode network in a pattern similar  
314 to that activated during mindfulness, especially when one is emotionally moved by arts experiences.<sup>133,134</sup> Arts  
315 engagement also encourages reflective consideration about a person's way of thinking and own cognitive processes;  
316 known as our 'metacognition'.<sup>135</sup> Interrogating why oneself is feeling a certain way – even about something as specific  
317 as liking a piece of art – builds self-awareness, a skill that seems to be transferrable to other domains of life.<sup>136</sup>

318 Additionally, engagement with different types of arts activities allows the exploration of different self-identities and self-  
319 categorisations in relation to different social groups.<sup>137</sup> For people with mental illness, this navigation of the personal  
320 and social self can provide alternative self-categorisations to being a 'patient' (e.g. being 'an artist'), supporting the  
321 construction of positive identity and acceptance.<sup>138,139</sup> In line with social identity theory, this effect is enhanced if  
322 individuals feel that an arts-based identity (e.g. as a singer, art-maker or theatre-goer) is significant and meaningful to  
323 them.<sup>140</sup> This can be both protective preventatively and supportive therapeutically for mental health, with identities built  
324 through arts-based activities helping to challenge stigmatising and isolating identities, reaffirm and rebuild personal  
325 identities in the face of traumas (including supporting narrative reconstruction), and buffer against future mental  
326 illness.<sup>141,142</sup> However, the formation of an identity around an arts group can also be damaging if that group brings with  
327 it exposure to stigma, dysfunctional group dynamics, social marginalisation, or harmful behaviours such as substance  
328 abuse.

## 329 Behavioural regulation

330 Arts engagement also provides diverse opportunities for strengthening behavioural processes that support mental  
331 health. Increased self-efficacy, agency and self-determination have been reported as a result of engaging in the arts, and  
332 are all related to anxiety and depression, often as processes that can buffer the effects of stressors.<sup>143</sup> These effects have  
333 frequently been reported alongside improvements in other psychological processes such as increased positive self-  
334 identity, confidence, social relatedness, and fulfilment of psychological needs such as mastery and autonomy, suggesting  
335 interconnected processes.<sup>143</sup> An important ingredient in the achievement of these effects appears to be novelty, with  
336 studies particularly reporting these outcomes when arts engagement provides opportunities for novel situational  
337 exposures.<sup>143</sup> Self-efficacy, agency and self-determination underpin many core behaviours, including healthy habits such  
338 as diet, exercise and avoiding substance use as well as broader behaviours such as engagement in productive  
339 employment. As a result, it appears likely that increasing engagement in the arts can also help individuals to strengthen  
340 the behavioural mechanisms that support broader life decisions and behaviours that are conducive to good mental  
341 health and wellbeing. Indeed, some studies suggest that engaging in the arts leads to increases in other health-  
342 promoting behaviours (known as "behavioural spillover"),<sup>144</sup> with both cross-sectional and longitudinal associations  
343 between arts engagement and broader health behaviours demonstrated in epidemiological studies, even when  
344 accounting for likely confounding factors.<sup>145,146</sup>

345 Relatedly, arts engagement may also support behavioural processes that reduce the risk of behaviours that are  
346 detrimental to mental health. Creative evaluation of art provides opportunities for recruiting a unique configuration of  
347 neural processes that are not typically used together during traditional problem-solving tasks, such as the executive and  
348 default network regions.<sup>147,148</sup> This co-activation of typically anticorrelated networks appears particularly pronounced in  
349 creative cognition.<sup>147,148</sup> Indeed, the most aesthetically moving artworks uniquely engage the default mode network  
350 (DMN) and particularly the anterior medial prefrontal cortex, which typically shows suppression during external tasks.<sup>133</sup>  
351 This suggests that intense aesthetic experiences facilitate a neural state where external sensory and semantic processing  
352 (as supported by the executive control network and sensory regions) becomes integrated with internal self-referential  
353 and evaluative processing (as supported by the DMN). Such integration may be an important neural substrate of the  
354 arts' capacity to serve as powerful tools for self-reflection and personal meaning-making, as they enable observers to  
355 simultaneously process external artistic features while relating them to personal memories, emotions, and values. As a

356 result, creative evaluation of art may allow for the combination and integration of both cognitive and affective as well as  
357 deliberate and spontaneous forms of evaluative thought.<sup>149,150</sup>

358 In addition, creative evaluation of art and also creative production of art (particularly narrative art) appear to build the  
359 “moral imagination”, helping people conceptualise which behaviours are good and right, imagine different possible  
360 scenarios and actions, make ethical decisions, and adapt behaviours in challenging situations, reducing subsequent  
361 behavioural adjustment issues.<sup>151,152</sup> Narrative art in particular has been shown to support individuals in understanding  
362 the perspectives of others, enhancing “theory of mind” and leading to reductions in egocentrism.<sup>153–155</sup> Indeed,  
363 according to the predictive coding model, the freedom for cognitive play engendered by arts engagement is a critical  
364 counterpoint to day-to-day routine, refining the predictive machinery of the brain to respond to a wider array of social  
365 situations and scenarios. As such, arts engagement is theorised not just as a frivolous escapism but an opportunity for  
366 serious collective experimentation with alternative models in a liminal space that hones our brain’s capacity to predict  
367 and adapt to events in our lives, including ones that might ordinarily lead to behaviours that are maladaptive to our  
368 mental health.<sup>37</sup> In support of this, arts engagement is associated with improved control of inhibitions and self-control  
369 of one’s own behaviours; mechanisms in themselves related to longer-term effects relevant to mental health, such as  
370 reduced antisocial behaviours.<sup>156,157</sup>

### 371 **Integrated map of mechanisms**

372 Our review of the literature took a transdiagnostic approach, considering mechanisms that are relevant to diverse mental  
373 health outcomes, which are now summarised in Figure 2.

#### 374 **Figure 2: Integrated map of mechanisms**

375  
376 Our review took the theoretical approach of considering the causal mechanisms that could link active ingredients in arts  
377 activities to the outcomes of reduced mental health symptoms. However, an important limitation currently is that there  
378 are comparatively few studies that consider explicitly whether these mechanisms causally link to the mental health  
379 outcomes reported in the meta-analyses of randomised trials of arts engagement. Studies focused on mechanisms and  
380 outcomes remain largely separated. As a result, in this paper we have discussed the strength of causality from arts to  
381 the mechanisms explored, but causal arguments linking these mechanisms to mental health outcomes largely rely on  
382 non-arts studies that have identified the relationship between these mechanistic processes and psychiatric  
383 symptomatology. This presents a clear research gap that should be prioritised in future research. Additionally, the 48  
384 mechanisms described were identified and selected by the authors based on their expert clinical and scientific judgment  
385 of relevance to mental health outcomes and alignment with current theoretical conceptualisations. Our analysis  
386 substantially drew on interdisciplinary literature across psychology, neuroscience, psychobiology, and the social sciences,  
387 but in such a vast and diverse range of fields different experts might prioritise different mechanisms or organize them  
388 differently. Nonetheless, our review paves the way for future systematic reviews employing more structured  
389 methodologies to validate and potentially expand upon specific mechanisms identified here.

390  
391 Nonetheless, the collective learnings from this review enable some advances in overall thinking on the causal  
392 relationship between arts and mental health. First, from a theoretical perspective, there has been a call for health  
393 researchers to move beyond focusing on single individual specific theories to explain complex effects and towards  
394 incorporating a broad range of potential theoretical perspectives within research on complex interventions.<sup>165</sup> Previous  
395 work on mechanisms linking arts to health has used the lens of complex adaptive systems science; an approach that  
396 acknowledges both the complexity of multi-modal interventions such as arts and of the real world and has been widely  
397 applied by ecological, eco-social and social-ecological theorists.<sup>166</sup> Our review of the literature echoes this theoretical  
398 approach, demonstrating that there is no single mechanism that predominates as a plausible causal process linking arts  
399 to mental health outcomes, and instead a diverse network of networks operating across psychological, neurological,  
400 biological and social processes. But it also extends this thinking. As has been discussed in this review, some of the  
401 mechanisms outlined are dependent on other mechanisms combining in order to achieve complex outcomes. For  
402 example, self-determination is a “hybrid” mechanism in that it emerges from the combined activation of individual

403 mechanisms of autonomy, relatedness and competence.<sup>167</sup> And the induction of positive emotions involves underlying  
404 physiological processes such as dopamine release and activation of reward networks. Other mechanisms are recursive,  
405 reinforcing one another via feedback loops, or self-reinforcing. For example, a reduction in sympathetic nervous system  
406 activity improves behavioural synchrony, which in turn has stress-reducing effects including further dampening of  
407 sympathetic nervous system (a feedback loop), while the development of new self-identities as a result of arts  
408 engagement can encourage further engagement with the arts (an example of self-reinforcement)<sup>21,166</sup>. Together, this  
409 integrated picture of mechanisms highlights that the mental health impact of arts engagement cannot be understood in  
410 terms of isolated mechanisms or even as the sum of the individual mechanisms, so the causal contribution of any  
411 individual mechanism should not be overstated.<sup>166</sup> Instead, all mechanistic research into the effects of arts engagement  
412 needs to consider the interconnectivity of such mechanisms if we are truly to appreciate how they act in synergy to  
413 influence mental health outcomes.

414 A second advance on thinking relates to how these mechanisms are activated by the specific active ingredients present  
415 in different arts activities. This review was led by evidence from clinical trials on the psychological benefits of arts  
416 engagement for people with mental illness. The arts interventions within those trials have typically focused on regular  
417 engagement in a specific arts activity over the course of several weeks or months as a form of time-limited intervention.  
418 However, arts engagement in people's daily lives is part of a broader pattern of transient creative activities that can  
419 include combinations of both deliberate and inadvertent arts exposure; brief and extended periods of engagement  
420 within a single day and across the life-course; and different types of arts activities encompassing different ingredients.  
421 As this review highlighted, the mechanisms activated can be very sensitive to the specifics of ingredients (e.g. whether  
422 an arts activity is arousing or relaxing, or communicates positive or negative emotions). As a result, it is artificial to  
423 consider that an individual's engagement in a specific arts intervention is going to activate mechanisms in isolation from  
424 other arts experiences ongoing in their lives. In other words, if an individual engages in a calming art class painting  
425 pictures of flowers once a week, but spends time outside of the group watching highly arousing horror films, both are  
426 going to activate mechanisms that could causally influence mental health outcomes. An alternative way of thinking that  
427 we put forward here is to conceptualise arts engagement as a form of "exposome". The term "exposome" refers to the  
428 totality of exposures to a given factor. As a concept, it allows us to move beyond thinking about arts engagement as an  
429 'intervention' that is distinct and separate from the rest of a person's life, and instead to consider all the types of arts  
430 engagement that people may be exposed to day-to-day and how the patterns of exposure could collectively activate  
431 mechanisms that lead to mental health outcomes. Using this theoretical approach, the ingredients in the art class and  
432 horror films might activate their own specific mechanisms, but the strength of these mechanisms might vary depending  
433 on the intensity and frequency of the exposure, and it would be the combination of these mechanisms that would elicit  
434 overall effects on mental health symptoms like depression and anxiety.

435  
436 Putting these two advances together, Figure 3 presents a first illustration of an updated theoretical model for  
437 understanding the arts and mental health outcomes that builds on our initial illustration presented in Figure 1. As Figure  
438 3 demonstrates, arts engagement is often categorised in definitions of the arts as distinct "artforms".<sup>4</sup> But engagement  
439 in these different artforms can in fact lead to common exposure to particular active ingredients that transcend these  
440 artform categorisations. For example, theatre and dance are considered different arts experiences, but both contain  
441 common ingredients such as physical movement (represented in a hypothetical "Ing 1") while theatre and literature  
442 both contain storytelling (represented in hypothetical "Ing 2"). Our exposure to such ingredients can be considered as  
443 being situated on a plane, with axes representing our frequency of exposure to the ingredient (represented on the X  
444 axis) and the degree of attentional focus that we give the ingredient (represented on the Y axis). As such, some  
445 ingredients we may only receive infrequently and with marginal levels of attention (e.g. the ingredient of cognitive  
446 stimulation elicited by singing Happy Birthday in tune on a special occasion), while others we may be consciously aware  
447 of receiving frequently (such as the ingredient of tactile stimulation that we may receive by engaging every day in clay  
448 pottery making). Combinations of these ingredients in turn lead to the activation of different mechanisms. For example,  
449 the combination of the ingredients "cognitive stimulation", "challenge", and "frequent dose" could activate the  
450 mechanism of mastery. Overall, this new model provides a means for articulating how arts exposures as part of day-to-  
451 day life could have (mental) health benefits even outside of formal arts interventions such as arts therapy sessions. It

452 also demonstrates that even when individuals participate in specific interventions, this does not present the entirety of  
453 their arts exposure. Arts experiences outside of formal interventions are likely moderators of the mechanisms activated  
454 by those formal interventions as the externally-experienced ingredients will interact with the intervention ingredients,  
455 affecting how specific mechanisms are activated. As such, the design of trials incorporating arts interventions ideally  
456 needs to consider wider arts behaviours within analyses.

457  
458 **Figure 3: Illustrative arts exposome and conceptual model for its influence on active ingredients exposure and**  
459 **mechanisms of action activation**

460  
461 Finally, although the mechanisms in this review are discussed in abstract from individual and contextual modifying  
462 factors, these wider environments are critical to acknowledge.<sup>165</sup> Both arts engagement and mental health are  
463 determined at multiple levels and are affected by historical, political, economic, temporal and spatial factors.<sup>168,169</sup> For  
464 example, if an individual is referred to an arts therapy intervention or prescribed an arts activity, this provides different  
465 therapeutic ingredients to self-initiated engagement that could lead to differences in activation of mechanisms such as  
466 agency, attentional focus and meaning attribution. So, it is important to acknowledge that the specific mechanisms  
467 activated and the ways they relate to mental health outcomes are individual and context dependent.

### 468 469 **Summary and future directions**

470 Literature on the mental health impact of arts engagement points towards a combination of potential causal mechanistic  
471 processes underlying effects. This Review has summarised the core mechanisms of action activated by engagement in  
472 the arts that could explain the relationship between arts and mental health. Our review was led by considerations of  
473 literature demonstrating improvements in mental health in people with psychopathological symptoms, although this  
474 included sub-clinical symptoms as well as diagnosed disorders. We specifically focused on mechanisms likely activated  
475 by general arts engagement; in other words engagement that can take place as part of everyday leisure, but can also  
476 form components of health-focused arts programmes such as arts-on-prescription and creative arts therapies. However,  
477 we highlight that the more health-focused activities likely bring other therapeutic mechanisms not reviewed here.

478  
479 Overall, we took a transdiagnostic approach, selecting the mechanisms that have potential therapeutic benefits for a  
480 range of diagnosed mental disorders. This approach is timely given that the biopsychosocial processes underlying mental  
481 health problems are transdiagnostic, there is substantial heterogeneity within specific diagnoses and discrimination  
482 between supposedly different disorders is often weak, and patients commonly experience phenotypic plasticity in  
483 symptoms over time or symptoms of multiple disorders or comorbidities that have common mechanistic roots.<sup>22</sup> As a  
484 result, there have been increasing calls for taking more transdiagnostic approaches in researching the effects of  
485 therapeutic interventions within mental health, which it is appropriate to follow in this consideration of the arts.<sup>158-163</sup>  
486 Notably, we show that arts engagement activates mechanisms that are relevant to diverse transdiagnostic processes  
487 that underlie many mental health conditions, including emotional reasoning, attentional deficits, recurrent negative  
488 thinking, and avoidance behaviours.<sup>164</sup> However, we argue that one of the unique and important features of the arts is  
489 that they also activate mechanisms beyond those involved in psychological therapies, providing more holistic support to  
490 individuals and underlining the need for continued research into this important human behaviour.

491  
492 Specifically, we recommend that future research should focus on understanding causal equivalence between arts and  
493 other transdiagnostic mental health treatments. In particular, it will be key to directly compare arts interventions to  
494 transdiagnostic psychological therapy interventions in non-inferiority trials to quantify whether the arts are as effective  
495 at supporting outcomes, particularly for populations where access to psychological therapies may be restricted. There  
496 is also a strong value to investigating the potential additive effects of the arts in combination with psychological therapies  
497 to identify whether co-prescribing both approaches could lead to stronger outcomes and reduced remittance. Some  
498 such comparative research is underway,<sup>172,173</sup> but in-depth consideration of these questions will be crucial to guiding  
499 future research. Alongside this research, it is also important to move from transdiagnostic to more specific diagnostic

500 considerations. Future research should prioritise identifying whether adding additional ingredients to arts activities in  
501 the form of modular extensions can activate additional diagnosis-specific mechanisms. For example, the incorporation  
502 of performances or exhibitions within a person's engagement with a community arts group could be used as a modular  
503 extension to activate the mechanism of social exposure to provide more targeted symptom support for people with  
504 social anxiety disorder.

505  
506 Focusing on the mechanisms specifically, it is important to explore more directly the synergies and differences between  
507 the mechanisms that arts engagement activate vs those activated by other therapeutic interventions. In transdiagnostic  
508 psychological intervention research, it is broadly recognised that remittance of mental health symptoms is achieved  
509 through combinations of spontaneous remittance, response to common therapeutic mechanisms, response to core CBT  
510 mechanisms, and response to diagnosis-specific mechanisms.<sup>22</sup> Applying this approach by extension to the arts, we can  
511 hypothesise that the effects reported within trials are the result of a combination of these factors too. Multi-arm  
512 randomised trials incorporating comparison interventions may provide clarity that while some mental health  
513 improvements are a result of spontaneous remittance, the activation of mechanisms both common to psychological  
514 therapies and more specific to arts activities is important to achieving clinical outcomes (see Box 2 for an example).  
515 Indeed, trials involving active comparators have become common in creative arts therapies research for other outcomes  
516 (e.g. neurological disorders), providing precedence and effective models that can be applied to broader arts  
517 interventions within mental health. However, it is key that all such work is designed to be appropriate for dynamic  
518 systems, ideally incorporating hybrid effectiveness-implementation approaches that allow for consideration of broader  
519 dynamic features of the delivery of arts programmes and the wider environment.<sup>170,171</sup>

520 **Box 2: A case study of a series of trials identifying common and specific mechanisms activated by singing programmes**  
521 **for women with postnatal depression**

522  
523 Importantly, this review has considered the mechanisms that can be activated by the arts that are likely relevant to  
524 mental health outcomes. The mechanisms in the review also have a relevance to people with no symptoms and high  
525 levels of flourishing, as they present likely causal processes that are important to the maintenance of good mental health  
526 and wellbeing and prevention of mental illness. But it does not necessarily follow that all arts engagement does lead to  
527 these mechanisms being activated, nor the ensuing beneficial outcomes for mental health. Any behaviour capable of  
528 affecting as many distinct neuro-psychological, bio-physiological and socio-behavioural processes as the arts can is also  
529 capable of adverse influence. For everyday engagement in the arts, this risk is ever present, as we outlined in examples  
530 above. We might assume that more clinically focused arts-engagement might be more immune to these potential  
531 adverse effects as greater consideration is typically given to the ingredients individuals are exposed to. But in these  
532 instances, the quality of delivery of arts interventions becomes paramount. Variation in the active ingredients of a  
533 facilitator's experience and style and the intervention design could lead to core therapeutic mechanisms not being  
534 activated, or even the mis-specification of elements with resultant therapeutic harm.<sup>3</sup> This is particularly a concern for  
535 arts programmes delivered by artists who may not be trained in how to work with clinical populations, as is common in  
536 arts-on-prescription programmes. Consequently, future research is encouraged that considers in more detail the specific  
537 ingredients that provide good quality arts interventions and the ingredients most likely to cause harm if they are mis-  
538 specified within arts experiences. We additionally recommend that researchers track the specific mechanistic pathways  
539 activated by these iatrogenic ingredients so that we have a more comprehensive understanding of which causal  
540 processes can lead to mental health harm as a result of arts engagement and how these effects can be minimised. Such  
541 work can follow research models being used for testing potential iatrogenic effects of school-based mental health  
542 interventions.

543 Finally, this Review puts forward new ideas around conceptualising individual arts experiences as part of a broader "arts  
544 exposome", highlighting the importance of both specific arts interventions for mental health, but also day-to-day  
545 exposure to arts as part of everyday life. Future research is encouraged that expands on this initial exposome model to  
546 enable us to move beyond a focus on time-limited arts interventions within studies to consider how the entire arts  
547 exposome affects mental health over time. Additionally, it is important to note that recent frameworks for considering  
548 the exposome, the psycho-exposome or the socio-exposome fail to acknowledge arts as an important exposure, or  
549 acknowledge the complexity of exposure it presents.<sup>174</sup> So research is also recommended that considers how arts

550 engagement sits alongside and interacts with other factors within the entire exposome so as to provide a deeper  
551 understanding of the size and extent of its impact on mental health.

552  
553  
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557  
558 **Conflicts of interest**

559 All authors declare no financial or non-financial conflicts of interest.

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